



LEGACY LEDGER



LEGACY INVESTMENT GROUP, LLC

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2016 Wrap Up!

2016 has been one of the best years' yet! Our company has grown by just short of 14 Million in new assets; we are thrilled to start 2017 off with a bang!

Our seminars have been consistently productive with teaching others about the income producing ability of options trading. Understanding the investment world can sometimes be overwhelming, but with our seminar presentation, we try to put it in words most people can understand.

The car shows were our client appreciation activities this year, we had great food, amazing cars, and some pretty outstanding clients attended. We can't wait to plan this year's client appreciation day!

Steve & Randy had the pleasure of visiting Scottrade headquarters located in St. Louis, Missouri. They met with our service team, toured the facility, and gained some insight from presentations by some of the most influential advisor firms in the country.

Steve was named to the NAAIM (National Association Active Investment Mangers) board of directors. NAAIM has roughly 200 member firms nationwide, managing over \$30 billion. NAAIM's purpose is to promote the common interests of those investment advisors who provide active investment management services to clients.

Marge has grown her accounting business as well, this last year she prepared a record number of tax returns!

Bob walked us through our annual audit, which is performed by the State of Ohio Securities Division, and we are happy to report that there were no deficiencies in how we run our business.

We are so excited to start 2017 off with you, helping to grow your own legacy even more with our proven track record, our commitment to personal relationships with each and every client, and our priority to servicing your investment needs.

Share the good news and receive a discount on your management fee. The best compliment you can give us is referring your friends and family. We will continue to discount (up to your entire management fee) for 1 quarter by the amount of fees received from your referrals. Refer a new client each quarter with an account size equal or larger than yours and pay no management fees for the year!

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Investment Update

Investment Strategy 2016 Summary

SPY – We collected a total of \$2,835 (Less Scottrade’s trade cost) per contract representing a 14.50% return based on our starting contract reserve requirement of \$19,600.

IWM – We collected a total of \$837 (Less Scottrade’s trade cost) per contract representing an 8.00% return based on our starting contract reserve requirement of \$10,450. We began trading IWM March 23RD which is an annualized return of 10.6%.

VXX – This position was first converted to some individual stocks (CYH, VIPS, AUYY, HL, LC) and since we kept getting “Put” some of those stocks, ultimately converted to JNUG and/or NUGT. The net result of all the conversions is positive on the year; however, I can’t put an exact per contract figure on it due to the multiple combinations of the various positions.

SLV – We collected a total of \$205 (Less Scottrade’s trade cost) per contract. (16 trades)

XLV – We collected a total of \$87 (Less Scottrade’s trade cost) per contract. (two trades)

XLE – We collected a total of \$42 (Less Scottrade’s trade cost) per contract. (one trade)

EWZ - We collected a total of \$20 (Less Scottrade’s trade cost) per contract. (one trade)

XOP - We collected a total of \$24 (Less Scottrade’s trade cost) per contract. (one trade)

CHK - We collected a total of \$4 per contract. (one trade)

All in all, we are very pleased with this trading strategy and are confident 2017 will continue to produce consistent results.

Scottrade Statements

We have received many questions regarding the purchase of Scottrade by TD Ameritrade. At this time, Scottrade has decided to discontinue the roll out of their back office upgrade which was scheduled to be released MLK day. This means the upgrade to their statements is no longer happening. (See page 3 for more information)

On another note: Due to the way Scottrade reports “unsettled trades” on their confusing statements, your “TOTAL MONEY BALANCE” listed on the front page may be higher or lower. To get an accurate value from your statement you will need to total the “Amount” column of the “TRADES PENDING SETTLEMENT” section of the statement and add or subtract that to the “TOTAL MONEY BALANCE” listed.

Your online balances are updated daily and are correct.



January's Birthdays

1/2 Michael L.	1/13 Bob B.	1/22 Duff B.
1/3 Fred P.	1/14 Rose B.	1/22 Jack F.
1/4 Max K.	1/14 Darlene W.	1/22 Randy B.
1/5 Jose L.	1/15 Katherine V.	1/24 Josh A.
1/5 Pam M.	1/15 Debra K.	1/24 Hubert L.
1/5 Patrick M.	1/17 Melissa S.	1/25 Patty P.
1/6 Carol W.	1/18 Carol L.	1/27 Penny P.
1/8 Ronald F.	1/18 Crissy R.	1/27 Gary K.
1/10 Tawanna A.	1/18 Nina W.	1/29 Ginger W.
1/11 Sheila A.	1/19 Lori S.	1/29 Courtney H.
1/12 Pat H.	1/20 Alex K.	1/29 Dottie J.
1/12 Sharri K.	1/21 Wendy H.	

Words Of Wisdom

“A dog’s New Year’s Resolution: I will not chase that stick unless I actually see it leave his hand!”



Scottrade & TD Ameritrade Merger Update

As we have informed you in previous newsletters, Scottrade announced, in September, that an agreement had been reached to merge with TD Ameritrade (TD). This type of transaction takes a long time to unfold. Scottrade is putting many of the projects regarding updated statements and website changes on the back burner as a result of the pending merger. Currently, the time line represents that a finalization of the actual merger negotiations and approval through the Government should conclude in September 2017. We will not have much final information until that time. The actual combining of the two companies could take another one or two years. We will certainly keep you apprised of any information that we receive during these timeframes.

As many of you are aware, our previous custodian was TD, so we have a good idea of the high level of professionalism their custodial and trading functionality services. We severed our previous relationship with TD and secured a better pricing structure with Scottrade over a year and a half ago. At this time, we have no reason to believe that we will need to secure a different custodian following the merger. However, we will expect to continue to get the best execution costs and other custodial services for our client base. If the merger results in a degradation of the current agreement that we have with Scottrade, we will, at a minimum, go back out to test the market.

As said, we have no information at this time that a new custodian relationship will be necessary. We will stay on top of the evolution of this merger. As always, we will continue to serve as the fiduciary and keep your interests topmost in our considerations.

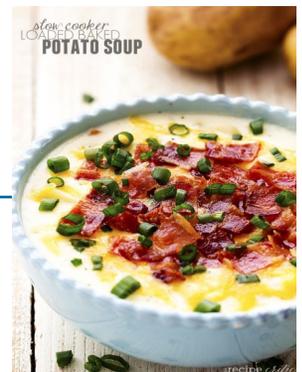


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ACTIVE MANAGEMENT FOR INCOME AND GROWTH



Nikki's Slow Cooker Baked Potato Soup

INGREDIENTS

- 4 cups peeled and diced potatoes (about 3-4 large russet potatoes)
- 1 small onion, chopped
- 3 cups chicken broth
- 4 tablespoons butter
- ¼ cup flour
- ¼ cup sour cream
- 1½ cup heavy cream (half and half will also work)
- 1½ cups cheddar cheese
- salt and pepper to taste
- Optional toppings:
 - Bacon, cooked and crumbled
 - Green Onions
 - cheddar cheese

DIRECTIONS

1. Add potatoes, diced onions and chicken broth to your slow cooker. Cook on low 4-6 hours or high 3-4 until potatoes are tender.
2. About 30 minutes before it is done, In a medium saucepan, melt the butter. Whisk in flour and cook until bubbly. Slowly add heavy cream, sour cream. And the cheese. The mixture should be thick. Add this to the soup and stir. Continue to cook in slow cooker for 20-30 minutes.

Serve with toppings, or you can also stir them all into the soup, (if you do cook more crispy bacon, and add it!)

I have made this for the office, and everyone loves it! Enjoy!